



JUDICIAL COUNCIL OF CALIFORNIA

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HON. TANI G. CANTIL-SAKAUYE
Chief Justice of California
Chair of the Judicial Council

HON. MARSHA G. SLOUGH
Chair, Executive and Planning Committee

HON. DAVID M. RUBIN
Chair, Judicial Branch Budget Committee
Chair, Litigation Management Committee

HON. MARLA O. ANDERSON
Chair, Legislation Committee

HON. HARRY E. HULL, JR.
Chair, Rules Committee

HON. KYLE S. BRODIE
Chair, Technology Committee

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Hon. C. Todd Bottke
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MR. MARTIN HOSHINO
Administrative Director
Judicial Council

September 30, 2021

Hon. Nancy Skinner
Chair, Joint Legislative Budget Committee
State Capitol, Room 5019
Sacramento, California 95814

Ms. Elaine M. Howle
California State Auditor
621 Capitol Mall, Suite 1200
Sacramento, California 95814

Re: Annual Report on Contracts for the Trial Courts for the Fiscal Year
Ending June 30, 2021, as required under Public Contract Code section
19209

Dear Senator Skinner and Ms. Howle:

Pursuant to the requirements under Public Contract Code section 19209, the Judicial Council is submitting the attached report on new contracts, contract payments to vendors from trial courts, and contract amendments during the reporting period July 1, 2020, through June 30, 2021.

If you have any questions related to this report, please contact Mr. Doug Kauffroath, Director, Branch Accounting and Procurement, at 916-263-2872, or doug.kauffroath@jud.ca.gov.

Sincerely,

Martin Hoshino
Administrative Director
Judicial Council

Hon. Nancy Skinner
Ms. Elaine M. Howle
September 30, 2021
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MH/RD/TM/es

Attachment

cc: Cara L. Jenkins, Legislative Counsel
Erika Contreras, Secretary of the Senate
Sue Parker, Chief Clerk of the Assembly
Eric Dang, Policy Consultant, Office of Senate President pro Tempore Toni G. Atkins
Amy Alley, Policy Advisor, Office of Senate President pro Tempore Toni G. Atkins
Alf Brandt, Senior Counsel, Office of Assembly Speaker Anthony Rendon
Gabrielle Zeps, Policy Consultant, Office of Assembly Speaker Anthony Rendon
Anita Lee, Principal Fiscal and Policy Analyst, Legislative Analyst's Office
Gabriel Petek, Legislative Analyst, Legislative Analyst's Office
Jessie Romine, Budget Analyst, Department of Finance
Margie Estrada, Chief Counsel, Senate Judiciary Committee
Mary Kennedy, Chief Counsel, Senate Public Safety Committee
Christopher Francis, Consultant, Senate Budget and Fiscal Review Committee
Shaun Naidu, Consultant, Senate Appropriations Committee
Hans Hemann, Principal Consultant, Joint Legislative Budget Committee
Eric Csizmar, Consultant, Senate Republican Policy Office
Matt Osterli, Consultant, Senate Republican Fiscal Office
Morgan Branch, Consultant, Senate Republican Policy Office
Alison Merrilees, Chief Counsel, Assembly Judiciary Committee
Gregory Pagan, Chief Counsel, Assembly Public Safety Committee
Sandy Uribe, Chief Counsel, Assembly Public Safety Committee
Jennifer Kim, Consultant, Assembly Budget Committee
Jay Dickenson, Chief Consultant, Assembly Appropriations Committee
Kimberly Horiuchi, Principal Consultant, Assembly Appropriations Committee
Lyndsay Mitchell, Consultant, Assembly Republican Office of Policy & Budget
Gary Olson, Consultant, Assembly Republican Office of Policy & Budget
Daryl Thomas, Consultant, Assembly Republican Office of Policy & Budget
Amy Leach, Minute Clerk, Office of Assembly Chief Clerk
Cory T. Jasperson, Director, Governmental Affairs, Judicial Council
Fran Mueller, Deputy Director, Budget Services, Judicial Council
Zlatko Theodorovic, Deputy Director, Budget Services, Judicial Council
Jenniffer Herman, Administrative Coordinator, Governmental Affairs, Judicial Council



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MR. MARTIN HOSHINO
Administrative Director
Judicial Council

Report title: *Annual Report on Contracts for the Trial Courts for the Fiscal Year Ending June 30, 2021*

Statutory citation: Public Contract Code section 19209

Date of report: September 30, 2021

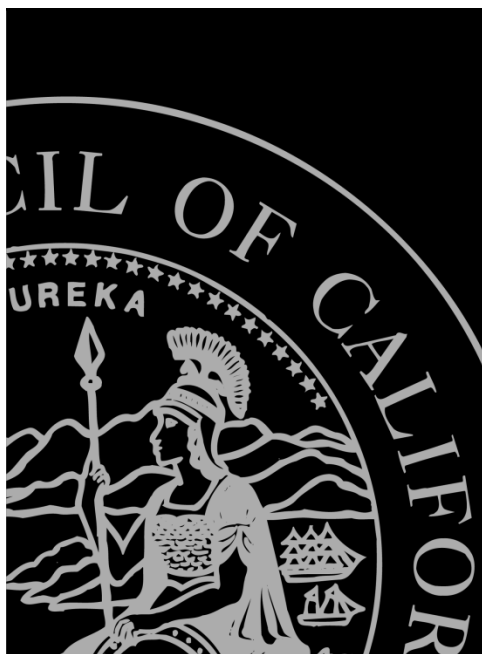
The Judicial Council has submitted a report to the Legislature in accordance with Public Contract Code section 19209. The following summary of the report is provided under the requirements of Government Code section 9795.

The Judicial Branch Contract Law (JBCL), enacted March 24, 2011, requires judicial branch entities to comply with the provisions of the Public Contract Code applicable to state agencies and departments related to the procurement of goods and services.

The JBCL also requires the Judicial Council to report to the Joint Legislative Budget Committee and the State Auditor annually on contracting activities by trial courts. The reports contain specific information, including details about payments received by vendors and contractors and their associated contracts, contract amendments entered into by trial courts with vendors or contractors, and the nature of the services or goods provided under the reported contracts and amendments. Additionally, the JBCL requires judicial branch entities using the Financial Information System for California (FI\$Cal) to make their contract and payment information available to the state's transparency website.

This report covers the period of July 1, 2020, through June 30, 2021. The report lists contracts associated with these payments, as well as contracts with amendments.

The full report can be accessed at www.courts.ca.gov/7466.htm. A printed copy of the report may be obtained by contacting Mr. Doug Kauffroath, Director, Branch Accounting and Procurement, at 916-263-2872 or doug.kauffroath@jud.ca.gov.



Annual Report on Contracts for the Trial Courts for the Fiscal Year Ending June 30, 2021

REPORT TO THE JOINT LEGISLATIVE
BUDGET COMMITTEE AND THE STATE
AUDITOR AS REQUIRED BY PUBLIC
CONTRACT CODE SECTION 19209

September 30, 2021



JUDICIAL COUNCIL
OF CALIFORNIA

ADMINISTRATIVE DIVISION

JUDICIAL COUNCIL OF CALIFORNIA

Hon. Tani G. Cantil-Sakauye

Chief Justice of California and

Chair of the Judicial Council

Martin Hoshino

Administrative Director

Judicial Council

ADMINISTRATIVE DIVISION

John Wordlaw

Chief Administrative Officer

BRANCH ACCOUNTING AND PROCUREMENT

Doug Kauffroath

Director

Tracy Matthews

Supervisor and

Primary Author of Report

Introduction

The Judicial Council submits this report to the Joint Legislative Budget Committee and the California State Auditor under Public Contract Code section 19209 to provide information related to contracts and payments for the trial courts. The report includes a list of vendors and contractors as required by section 19209(b). The report further identifies the amounts of payments to the contractors and vendors, the types of services and goods provided, and the trial courts with which the contractors and vendors contracted to provide those goods and services. The report summary also includes a list of all amended contracts as required by section 19209(c), identifying the vendors, contractors, and types of services and goods provided under the contract, including any changes to the contract value, type of services or goods, or contract.

The report is developed annually, covering the state fiscal year ending June 30. This report covers the period from July 1, 2020, through June 30, 2021.

Contracts excluded from the report

Public Contract Code section 19204(c) provides that the Judicial Branch Contract Law (JBCL; Pub. Contract Code, §§ 19201–19210) “does not apply to procurement and contracting by judicial branch entities that are related to trial court construction, including, but not limited to, the planning, design, construction, rehabilitation, renovation, replacement, lease, or acquisition of trial court facilities.” This section also states that the JBCL “shall apply to contracts for maintenance of all judicial branch facilities that are not under the operation and management of the Department of General Services.” Appropriate exclusions and inclusions based on the above subdivisions have been made in this report.

Also excluded from the report are the following contracts, which are unique to the trial courts and not subject to the JBCL:

- Contracts (often referred to as memorandums of understanding) between a trial court and the sheriff for court security services;
- Contracts between a court and a court *reporter*, when the court reporter provides services as an independent contractor; and
- Contracts between a court and a court *interpreter*, when the court interpreter provides services as an independent contractor.

Non-Trial Court Data

In July 2018, the judicial branch implemented the Financial Information System for California (FI\$Cal) for all entities other than the trial courts. The entities on FI\$Cal include the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council.

Under Public Contract Code section 19209(a), procurement and payment transactions for the Supreme Court, Courts of Appeal, Habeas Corpus Resource Center, and Judicial Council of California are available on the state’s transparency websites, where summary or detailed data can be downloaded into an Excel format.

- Procurement transactions are available on the California eProcure website at https://suppliers.fiscal.ca.gov/psc/psfpd1/SUPPLIER/ERP/c/ZZ_PO.ZZ_SCPRS1_CMP.GBL?FolderPath=PORTAL_ROOT_OBJECT.ZZ_FISCAL_SCPRS.ZZ_SCPRS1_CMP_GBL&IsFolder=false&IgnoreParamTempl=FolderPath%2cIsFolder. The judicial branch's department number is 0250. Date ranges can be used on the site to limit the volume of information returned.
- Payment transactions are available on the State of California transparency website at <https://fiscalca.opengov.com>. All the entities listed above are included under the judicial branch portion of data. Date ranges can be entered at the top of the page to limit the volume of information returned.

Trial Court Data

The information that relates to trial court contracting is extracted from the Phoenix Financial System. This information includes the following reports:

- *Trial Court Payment Report*; and
- *Trial Court Contract Report*.

Table 1 explains the format of the reports and describes the data elements.

Table 1. Trial Court Contract Reports: Comparison of Required Data Elements with Actual Reports to Report Under Public Contract Code Section 19209

		Data Element Column Heading
Report	Required to Be Reported by Statute	Trial Court Reports
Payment Report	<p>Vendors and contractors receiving any payment.</p> <p>Identify the:</p> <ol style="list-style-type: none"> 1. Amount of payment to the contractor or vendor; 2. Type of service or good provided; and 3. Judicial branch entity (JBE) or entities with which the vendor or contractor was contracted to provide that service or good. 	<ul style="list-style-type: none"> • Vendor Name • Vendor ID • Contract Number • Total Payment Amount • Goods/Services • JBE/Court
Contract and Contract Amendment Report	<p>Report each distinct contract between the vendor or contractor and a judicial branch entity.</p> <p>For all contract amendments made, identify:</p> <ol style="list-style-type: none"> 1. Vendor or contractor; 2. Type of service or good provided under the contract; 3. Nature of the amendment; 4. Duration of the amendment; and 5. Cost of the amendment. 	<ul style="list-style-type: none"> • Court • Contract Number • Vendor ID • Vendor Name • Last Reported Contract Value • Contract Value (New) Contract Value (Changed) • Goods/Services Goods/Services (Changed) • Contract Duration (New) Contract Duration (Changed)

Statistics

Tables 2 and 3, below, provide statistical information for the July 1, 2020, through June 30, 2021, reporting period. Note that the tables may include totals that do not equal 100 percent because of rounding.

Table 2 provides an overall summary of contracts and payments for the reporting period.

Table 2. Overall Contract and Payment Statistics, July 1, 2020, through June 30, 2021

	Trial Courts
Payments:	
Number	21,942
Dollar amount	\$453.4 million
Number of associated contracts	11,872
Contracts:	
New contracts	7,878*
Value of new contracts	\$223.3 million*
Contracts with amendments	2,039
Value of amendments	\$92.4 million**
* Includes new contracts executed during reporting period.	
** Includes existing contracts with amendments executed during reporting period.	

Table 3 provides a summary of all payments for goods and services by the trial courts during this reporting period. The table shows 21,942 payments representing approximately \$453.4 million associated with 11,872 contracts.

Table 3. Trial Court Payment Statistics, Goods, and Services Detail Summary, July 1, 2020, through June 30, 2021

Goods/Services	No. of Payments	Value (\$)	No. of Contracts
ADVERTISING	109	224,877.00	35
BANKING AND INVESTMENT SERV	10	70,860.16	3
COLLECTION SERVICES	115	13,604,123.44	59
CONSULTING SERVICES - TEMP	102	2,050,235.39	94
CONSULTING/PROFESSIONAL SVCS	3	1,028,837.18	2
CONTRACTED SERVICES	121	3,281,702.97	94
COUNTY-PROVIDED SERVICES	190	42,563,406.86	158
COURT APPOINTED COUNSEL CHA	491	57,369,028.31	108
COURT INTERPRETER SERVICES	2,897	22,106,722.35	333
COURT ORDERED PROFESSIONAL	1,378	12,870,968.65	869
COURT REPORTER SERVICES	212	2,882,052.65	13
COURT TRANSCRIPTS	2,127	12,093,126.76	31
DUES AND MEMBERSHIPS	220	329,124.86	34
EQUIPMENT MAINTENANCE	327	2,982,020.96	269
EQUIPMENT RENTAL/LEASE	174	5,611,268.38	114
EQUIPMENT REPAIRS	231	1,560,656.52	199
FACILITIES OPERATION	21	19,522.52	21
FEES/PERMITS	160	6,776,506.38	55
FREIGHT AND DRAYAGE	18	2,708.61	7
GENERAL CONSULTANT AND PROF	774	35,186,940.12	483
GENERAL EXPENSE	11	88,619.04	1
GENERAL EXPENSE - SERVICE	423	4,370,381.64	288
GROUNDS	30	329,229.97	15
INFORMATION TECHNOLOGY	15	826,594.27	15
INSURANCE	151	2,438,574.69	56
INVESTIGATIVE SERVICES	141	593,737.96	52
IT COMMERCIAL CONTRACT	246	20,264,846.72	202
IT INTER-JURISDICTIONAL CON	35	1,616,334.88	20
IT MAINTENANCE	706	20,207,914.62	613
IT OTHER	77	2,222,911.09	58
IT REPAIRS/SUPPLIES/LICENSE	1,152	28,352,615.14	991
JANITORIAL	304	22,738,506.33	215
JUROR COSTS	7	1,861.56	
LABORATORY EXPENSE	38	73,315.33	18
LEGAL	162	3,576,884.53	98
LIBRARY PURCHASES AND SUBSC	605	11,452,944.86	362

Goods/Services	No. of Payments	Value (\$)	No. of Contracts
MAINTENANCE AND SUPPLIES	304	5,698,679.46	225
MAJOR EQUIPMENT	201	11,770,808.15	192
MEDIATORS/ARBITRATORS	173	1,905,246.31	33
MEETINGS, CONFERENCES, EXHI	73	101,571.27	21
MINOR EQUIPMENT - UNDER \$5K	1,651	22,931,424.08	1,480
OFFICE EXPENSE	2,502	8,968,312.10	2,126
OTHER CONTRACT SERVICES	51	2,825,841.97	36
OTHER FACILITY COSTS - GOODS	231	549,976.55	191
OTHER FACILITY COSTS - SERV	78	964,772.45	44
OTHER ITEMS OF EXPENSE	14	31,048.70	10
OTHER TRAVEL EXPENSE	1	7.00	
OTHER - SPECIAL ITEMS OF EXPENSE	1	329.26	
PHOTOGRAPHY	7	45,689.44	6
POSTAGE	30	1,545,007.40	12
POSTAGE METER	82	5,636,704.97	42
PRINTING	621	3,950,918.34	518
RENT/LEASE	98	4,378,553.59	53
SECURITY	165	16,742,294.57	119
SHERIFF	567	2,236,958.37	19
STAMPS, STAMPED ENVELOPES	241	5,352,233.11	117
TELECOMMUNICATIONS	677	14,641,438.01	461
TRAINING	228	598,689.88	105
UNIFORM ALLOWANCE	8	18,289.69	8
VEHICLE OPERATIONS	155	702,348.92	69
Grand Total	21,942	\$453,367,106.29	11,872

Because of their size, the detailed reports, including any explanatory footnotes, are posted online separately for access and review. The reports can be accessed here:

- <http://www.courts.ca.gov/7466.htm>

Report Information

Trial Court Contract Report

The Phoenix Financial System is not configured to collect information about contracts in a manner that precisely matches the statutory reporting requirements. Below are some key factors to consider when reviewing the contract data related to the trial courts:

- The *Trial Court Contract Report* includes all contracts and amendments completed within the reporting period because including all contracts is more cost-effective than developing a report that includes distinct contracts for only the vendors who received more than one payment in the reporting period. “Vendor” is used synonymously with “contractor” in the report.

- Goods/services descriptions are determined by the general ledger account(s) entered in the system.
- The only amendment descriptions that can be reported are changes in the overall value or duration of an agreement, or changes in the goods or services provided.
- As with most enterprise resource planning systems, the Phoenix Financial System cannot distinguish between a true amendment and an error correction. The Judicial Council of California relies on the trial courts to manually identify error corrections for omission from this report.

Table 4 contains a list of the report fields and their descriptions.

Table 4. Trial Court Contract Report Field Names and Descriptions

Field Name	Field Description
Court	Judicial branch entity (JBE), specifically the name of the trial court with the associated contract.
Contract	Unique identifier for the contract; can be system generated or a court-specific number.
Vendor ID	Unique identifier for the vendor; can be system generated or a court-specific vendor identifier.
Vendor Name	Name of the vendor.
Last Reported Contract Value	The most recently reported contract value, when the transaction record is for a contract that has been previously reported. (Only contracts created or amended after January 1, 2012, have been reported.)
Contract Value (New)	Known or estimated original contract value, when the transaction record is for a new contract.
Contract Value (Changed)	The increase or decrease to the contract value, if the contract value changed within the reporting period, which may occur in the same reporting period as a new contract.
Goods/Services	A description of the goods/services based on the general ledger accounts associated with the contract, when the transaction record is for an original contract. (The goods/services are rolled up from subaccounts, so descriptions may appear to be duplicates but are actually separate subaccounts in the rolled-up category.)
Goods/Services (Changed)	A description of the changed goods/services based on the general ledger accounts associated with the contract, when the transaction record refers to an amendment to the goods/services. (The goods/services are rolled up from subaccounts, so descriptions may appear to be duplicates but are actually separate subaccounts in the rolled-up category.)
Contract Duration (New)	When the transaction record is for an original contract, the original contract duration, represented in months or a fraction thereof.
Contract Duration (Changed)	When the transaction record refers to an amendment to the current contract duration, the increase or decrease to the contract duration, represented in months or a fraction thereof.

Trial Court Payment Report

Below are some key factors to consider when reviewing the payment data:

- Goods/services descriptions are determined by the general ledger account(s) entered in the system.
- A single payment may have multiple lines of data in the file if the payment is for multiple goods or services. Simple sorting by contract number keeps these records together. The reports can also be sorted by court/JBE or by vendor.

Table 5 contains a list of the report fields and their descriptions.

Table 5. Trial Court Payment Report Field Names and Descriptions

Field Name	Field Description
Court	Name of the trial court making the payment.
Contract Number	Unique identifier for the contract under which the payment was made. (If the payment was not associated with a contract, this field will be blank.)
Goods/Services	Description of the goods/services based on the general ledger account associated with the payment. (The goods/services are rolled up from subaccounts, so descriptions may appear to be duplicates but are actually separate subaccounts in the rolled-up category.)
Vendor ID	Unique identifier for the vendor.
Vendor Name	Name of the vendor.
Total Payments	Total payments to a vendor, reported by court, contract, and goods/services under the contract. (Data can be sorted in various ways to obtain totals by court, vendor, contract, goods/services, etc.)